

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97**

**Inventors:** Dennis R. Berman  
**Title:** LOCK-IN TRAINING SYSTEM  
**Filing Date:** 3/31/2004  
**Serial Number:** Unknown  
**Group Art Unit:** Unknown  
**Examiner:** Unknown

On an enclosed Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. § 1.56. A copy of each foreign patent is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. § 1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the enclosed Form PTO-1449 in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56.

☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date  
-- OR --  
☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --  
☒ (3) It is being filed before the mail date of the first Office Action on the merits.

☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:

- ☐ a certification as specified in § 1.97(e) is provided below; **or**  
☐ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:

- A. a certification as specified in § 1.97(e) is completed below; **and**  
B. a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; **and**

C. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ Statement under 37 C.F.R. §1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

Name: Hoyt A. Fleming III  
Reg. No.: 41,752

Signature: \_\_\_\_\_



Date: 3/31/2004

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**28422**

# INFORMATION DISCLOSURE CITATION

PTO-1449

*Complete if Known*

Application No.	Unknown
Filing Date	March 31, 2004
First Named Inventor	Dennis R. Berman
Group Art Unit	Unknown
Atty. Docket No.	TRV00-0001-R-1
Page Number	1 of 3

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,002,491	03/26/91	Abrahamson et al.	434	322	04/28/89
	5,267,865	12/07/93	Lee et al.	434	360	02/11/92
	5,310,349	05/10/94	Daniels et al.	434	350	04/30/92
	5,441,415	08/15/95	Lee et al.	434	350	12/06/93
	5,616,033	04/01/97	Kerwin	434	118	08/03/94
	5,692,906	12/02/97	Corder	434	156	06/07/95
	5,788,508	08/04/98	Lee et al.	434	350	06/07/95
	5,810,605	09/22/98	Siefert	434	362	11/04/94
	5,823,788	10/20/98	Lemelson et al.	434	350	11/13/95
	5,957,699	09/28/99	Peterson et al.	434	350	12/22/97
	5,978,648	11/02/99	George et al.	434	362	03/06/97
	6,024,577	02/15/00	Wadahama et al.	434	322	11/04/97
	6,125,358	09/26/00	Hubbell et al.	706	11	12/22/98
	6,146,148	11/14/00	Stuppy	434	322	03/25/99
	6,148,174	11/14/00	Remschel	434	350	11/14/97
	6,149,438	11/21/00	Richard et al.	434	322	06/07/95
	6,149,441	11/21/00	Pellegrino et al.	434	350	11/06/98
	4,958,284	09/18/90	Bishop et al.	364	419	12/06/88
	5,002,865	03/26/91	Kumashiro et al.	430	558	02/26/90
	5,011,413	04/30/91	Ferris et al.	434	358	07/19/89
	5,987,302	11/16/99	Driscoll et al.	434	353	03/20/98
	6,115,683	09/05/00	Burstein et al.	704	001	03/31/97
	6,120,297	09/19/00	Morse, III et al.	434	169	08/25/98
	6,168,440	01/02/01	Clark et al.	434	322	08/28/98
	6,181,909	01/30/01	Burstein et al.	434	353	07/22/98
	6,226,611	05/01/01	Neumeyer et al.	704	246	01/26/00

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b>  <b>PTO-1449</b>			<b>Complete if Known</b>			
			Application No.		Unknown	
			Filing Date		March 31, 2004	
			First Named Inventor		Dennis R. Berman	
			Group Art Unit		Unknown	
			Atty. Docket No.		TRV00-0001-R-1	
		Page Number		2 of 3		
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	6,254,395	07/03/01	Breland et al.	434	156	04/12/99
	6,282,404	08/28/01	Linton	434	350	9/22/99
	6,287,123	09/11/01	O'Brien	434	118	03/29/99
	6,292,792	09/18/01	Baffes et al.	706	45	03/26/99
	6,295,439	09/25/01	Bejar et al.	434	350	3/21/97
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	6,343,935	02/05/02	Clements	434	156	03/01/00
	5,696,962	12/09/97	Kupiec	395	604	05/08/96
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	6,554,618	04/29/03	Lockwood	434	322	04/20/01
	5,307,266	04/26/94	Hayashi et al.	364	419.07	08/07/91
	5,689,716	11/18/97	Chen	395	761	04/14/95
	5,694,523	12/02/97	Wical	395	12	05/31/95
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EXAMINER			DATE CONSIDERED			

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		Page Number		3 of 3			
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	5,002,491	03/26/91	Abrahamson et al.	434	322	04/28/89	
	6,067,538	05/23/00	Zorba et al.	706	47	12/22/98	
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	4,817,036	03/28/89	Millett et al.	364	900	03/15/85	
	5,384,703	01/24/95	Withgott et al.	364	419.19	07/02/93	
	5,424,947	06/13/95	Nagao et al.	364	419.08	06/12/91	
	5,442,780	08/15/95	Takanashi et al.	395	600	07/08/92	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	EP 1008975 A2	06/14/00	EP	G09B	7/04	<input type="checkbox"/>	<input checked="" type="checkbox"/>
EXAMINER			DATE CONSIDERED				

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